

Attorney's Docket No. _____

PITT-1

PATENT

Applicant or Patentee: Joel S. Greenberger, Paul A. DiMilla, Michael M. Domach,
Raymond K. Houck

Application or Patent No.: / _____

Filed or Issued: _____

For: A METHOD AND APPARATUS FOR HOLDING CELLS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(d))—NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization University of Pittsburgh

Address of Organization Office of Technology Transfer and Intellectual Property,
911 William Pitt Union, Pittsburgh, PA 15260

TYPE OF ORGANIZATION

- ☒ University or Other Institution of Higher Education
- ☐ Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
- ☐ Nonprofit Scientific or Educational Under Statute of State of the United States of America
(Name of State _____)
(Citation of Statute _____)
- ☐ Would Qualify as Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)), if Located in the United States of America
- ☐ Would Qualify as Nonprofit Scientific or Educational Under Statute of State of the United States of America if Located in the United States of America
(Name of State _____)
(Citation of Statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization, as defined in 37 CFR 1.9(e), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled
A METHOD AND APPARATUS FOR HOLDING CELLS

by inventor(s) Joel S. Greenberger, Paul A. DiMilla, Michael M. Domach,
Raymond K. Houck

described in

- ☒ the specification filed herewith.
- ☐ application no. / _____, filed _____.
- ☐ patent no. _____, issued _____.

I hereby declare that rights under contract or law have been conveyed to, and remain with, the nonprofit organization, with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d), or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e)

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

Name _____

Address _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Name _____

Address _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any charge in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing Frances J. Connell

Title in Organization Director

Address of Person Signing University of Pittsburgh, Office of Technology

Transfer and Intellectual Property, 911 William Pitt Union, Pittsburgh, PA 15260

SIGNATURE

Frances J. Connell

Date

10/2/96

Attorney's Docket No. _____

PITT-1

PATENTApplicant or Patentee: Joel S. Greenberger, Paul A. DiMilla, Michael M. Domach,
Raymond K. Houck

Application or Patent No.: _____

Filed or Issued: _____

For: A METHOD AND APPARATUS FOR HOLDING CELLS**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b))—INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled A METHOD AND APPARATUS FOR HOLDING CELLS

described in

- ☐ the specification filed herewith.
☐ application no. _____, filed _____
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization.
☐ persons, concerns or organizations listed below *

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averting to their status as small entities. (37 CFR 1.27)

FULL NAME _____

ADDRESS _____

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Raymond K. Houck

Name of inventor

Signature of Inventor

Date

11/8/96

Name of inventor

Signature of Inventor

Date

Name of inventor

Signature of Inventor

Date

Declaration and Power of Attorney For Patent Application
English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A METHOD AND APPARATUS FOR HOLDING CELLS

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ 35

Application Serial No. 0 / _____

and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

(Number) _____ (Country) _____ (Day/Month/Year Filed) _____

Yes ☐ No ☐

(Number) _____ (Country) _____ (Day/Month/Year Filed) _____

Yes ☐ No ☐

(Number) _____ (Country) _____ (Day/Month/Year Filed) _____

Yes ☐ No ☐

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

0 / _____
 (Application Serial No.) (Filing Date) (Status)
 (patented, pending, abandoned)

0 / _____
 (Application Serial No.) (Filing Date) (Status)
 (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Ansel M. Schwartz, Reg. No. 30,587

Send Correspondence to:

Ansel M. Schwartz

412/621-9222

Direct Telephone Calls to: (name and telephone number)

Full name of sole or first inventor Joel S. Greenberger	
Inventor's signature	Date
Residence 749 Chestnut Road, Sewickley, PA 15143	
Citizenship United States	
Post Office Address 749 Chestnut Road, Sewickley, PA 15143	
Full name of second joint inventor, if any Paul A. DiMilla	
Second inventor's signature	Date
Residence 3277 Oaknoll Road, Gibsonia, PA 15044	
Citizenship United States	
Post Office Address 3277 Oaknoll Road, Gibsonia, PA 15044	

(Supply similar information and signature for third and subsequent joint inventors.)

0-7-0-6900-0

Full name of fourth joint inventor Raymond K. Houck
 Inventor's signature *Raymond K. Houck* Date: 9/30/96
 Residence 832 12th Street, Oakmont, PA 15139
 Citizenship United States
 Post Office Address 832 12th Street, Oakmont, PA 15139